

KAMBE-U.S. Pat. Appl. 10/025,465  
Ref. PNDF-01211

Record (See the Reference Citation List to obtain the citation)

Claims 1-9  
Citations 1 and 2

Remarks:

There is no exceptional difference between the invention relating to Claims 1-3, 5 and 6 and the invention recorded in Citation 1 (particular reference is made to the section extending from line 10 of the lower right column of page 2 to line 9 of the upper left column of p.3).

Relative to the invention recorded in Citation 1, accomplishing the invention relating to Claims 4 and 7 by applying the protective film of the invention recorded in Citation 2 could be easily conceived by one skilled in the Art.

A variable light reduction device which uses a Matsender (phonetic) directional characteristics combining device is technology known to one skilled in the Art, and making the invention relating to Claim 8 by applying the light source referred to in Citation 1 relative to known technology claims could be easily conceived by one skilled in the Art.

Forming a thin film of the desired shape at the time of forming a thin film in which, following the formation of a film on the entire surface, a photo-resist is patterned and made into a mask, and the thin film of parts not covered by the resist are etched, is known to one skilled in the Art, and accomplishing the invention relating to Claim 9 by adopting a known manufacturing method as the thin film manufacturing method referred to in Citation 1 could be easily conceived by one skilled in the Art.



8033-1016

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Mail Stop Issue Fee  
Toshihuki KAMBE Confirmation No. 2962  
Serial No. 10/025,465 Group 2874  
Filed December 26, 2001 Examiner Tina M. Lin

OPTICAL WAVEGUIDE DEVICE AND PROCESS FOR PRODUCTION THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with Rules 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed Form PTO-1449.

A concise explanation of the relevance of these items is that these references were cited by the Japanese Patent Office in the corresponding Japanese Application Serial No. 2001-081184, filed March 21, 2001. A copy of the Japanese Official Action in which they were cited is attached hereto, with what is believed to be the pertinent portion enclosed in a wavy line. An English translation of the enclosed portion is also attached hereto.

Under the provisions of 37 CFR 1.97(e), the undersigned hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a

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S.N. 10/025,465

counterpart foreign application not more than three months  
prior to the filing of this Statement.

Respectfully submitted,

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By

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February 5, 2004

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
8033-1016

SERIAL NO.  
10/025,465

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

37 CFR 1.98(b)

APPLICANT  
Toshiyuki KAMBE

FILING DATE  
December 26, 2001

GROUP  
2874

FEB 05 2004

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

	DOCUMENT NO.	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB CLASS	TRANSLATION YES NO
AI	A 55-69122	05/80	JP			
AJ	A 9-54293	02/97	JP			
AK						
AL						
AM						
AN						
AO						
AP						
AQ						

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

AT	
AU	
AV	
AX	
AY	

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.**